



## Guide to Telecom Rules

### CODE OF FEDERAL REGULATIONS

#### TITLE 47 – Telecommunications

#### PART 61 –Tariffs

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The NECA Guide to Telecom Rules is a companion document for use in conjunction with the Electronic Code of Federal Regulations. The GTR augments the e-CFR by providing:

##### **[Summaries of significant actions](#)**

This section includes all orders that changed this part. The initial date in each summary refers to the date the FCC released an order; effective dates are at the end of each summary. This section may also include relevant court orders and other significant decisions that may affect this part without changing any specific rules.

##### **[Chronologies](#)**

Each section title is accompanied by a chronology listing orders that changed the section. All dates refer to *release dates* of Orders that changed the rule. Clicking on any date sends you to the corresponding significant action summary where you will find effective dates, as well as links to the order for further details. (Some early orders are not available electronically and will not have a link.)

##### **[Text of pending rules](#)**

Rules that have been adopted by the FCC but are not yet effective because they are awaiting Federal Register publication or Office of Management and Budget approval, are indicated in the Chronologies section. Click on **(pending text)** to go to the text of the pending rules.

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## Significant Actions Affecting 47 C.F.R. Part 61

10/09/84 The Commission recodified Part 61 and added it to Title 47 of the C.F.R. Amendment of the Commission's Rules With Regard to Tariffs, CC Docket No. 83-992, [Report and Order](#), 98 F.C.C. 2d 855 (1985). Effective 11/19/84.

03/30/87 The Commission revised several procedural rules including §§ 61.32 and 61.153 regarding its official mailing addresses and filing locations. Establishment of a Fee Collection Program to Implement the Provisions of the Consolidated Omnibus Budget Reconciliation Act of 1985, General Docket No. 86-285, [Supplemental Order](#), 2 FCC Rcd 1882 (1987). Effective 04/01/87.

06/29/87 The Commission adopted rules to reduce federal regulatory burdens on small telephone companies including rules to reduce the frequency of required filings and to provide small companies the option of choosing to file interstate access tariffs based on historical cost and demand information or to participate in NECA's pool. The Commission amended §§ 61.12, 61.33, 61.38, 69.603. Regulation of Small Telephone Companies, CC Docket No. 86-467, [Report and Order](#), 2 FCC Rcd 3811 (1987). Amended, 3 FCC Rcd 5770 (1988). Effective 01/01/88.

04/17/89 The Commission amended numerous sections in Part 61 and Part 65. The Commission adopted a form of incentive regulation known as "price cap" for AT&T as a replacement for rate of return regulation. The Commission stated that limiting the rates that AT&T could charge would result in it avoiding unnecessary costs, investing in efficiency and enhanced technology and employing innovative services to earn the greatest levels of returns within applicable rate limitations. Policy and Rules Concerning Rates for Dominant Carriers, CC Docket No. 87-313, [Report and Order and Second Further Notice of Proposed Rulemaking](#), 4 FCC Rcd 2873 (1989). Effective 05/17/89.

04/20/90 The Commission revised §§ 61.32, 61.33, 61.152, and 61.153 to implement section 3001 of the Omnibus Budget Reconciliation Act of 1989, specifically regarding its collection of fees and schedule of charges. Fee Collection Program, General Docket No. 86-285, [Memorandum Opinion and Order](#), 5 FCC Rcd 3558 (1990). Effective 05/21/90.

10/04/90 The Commission amended numerous sections in Parts 61, 65 and 69. The Commission adopted price cap regulation for largest ILECs (e.g., RBOCs, GTE, etc.), replacing "cost-plus" or rate of return system of regulation with incentive-based system used then by AT&T. Under this system, ILECs would charge rates based on costs plus return on invested capital. Additionally, the Commission adopted ratepayer protections in forms of baskets, service categories and pricing bands. Policies and Rules Concerning Rates for Dominant Carriers, CC Docket No. 87-313, [Second Report and Order](#), 5 FCC Rcd 6786 (1990). [Erratum](#), 5 FCC Rcd 7664 (1990). [Final Rule Correction](#), 55 Fed. Reg. 50558 (1990). Effective 10/31/90.

01/07/91 The Commission amended § 61.58 to extend the maximum notice period for tariff filings from ninety (90) days to one hundred and twenty (120) days. Amendment of Section 61.58 (a)(2) of the Commission's Rules and Regulations, [Order](#), 6 FCC Rcd 275 (1990). Effective 01/07/91.

02/08/91 The Commission amended §§ 61.42, 61.49, and 61.58, reaffirming its price cap regulations. The Commission made minor changes to its rules including clarification of below band rates and tariff procedures, and treatment of promotional offerings. Additionally, the Commission created a new service category in the 800 services basket. Amended. Policy and Rules Concerning Rate for Dominant Carriers, CC Docket No. 87-313, [Memorandum Opinion and Order on Reconsideration](#), 6 FCC Rcd 665 (1991). Effective 03/18/91.

04/17/91 The Commission amended sections in Part 61, Part 65 and § 69.105. Affirmed price cap regulatory structure previously adopted in 12/07/90 Order. Policy and Rules Concerning Rates for Dominant Carriers, CC Docket No. 87-313, [Order on Reconsideration](#), 6 FCC Rcd 2637 (1991). [Erratum](#), DA 91-539 (rel. 04/26/91), [Erratum](#), DA 91-544 (rel. April 30, 1991). Effective 06/10/91 for all provisions, except §§ 61.45 (d) and 69.105 (b), which became effective 06/30/91.

07/11/91 The Commission amended Part 61 and Part 69 of its rules to enable the BOCs to offer Open Network Architecture services and to treat basic service components as unbundled. The Commission

required the BOCs to provide cost support for their initial basic service element prices and for new services. Amendments of Part 69 and the Commission's Rules Relating to the Creation of Access Charge Subelements for Open Network Architecture, CC Docket No. 89-79, Policy and Rules Concerning Rates for Dominant Carriers, CC Docket No. 87-313, [Report and Order & Order on Further Reconsideration & Supplemental Notice of Proposed Rulemaking](#), 6 FCC Rcd 4524 (1991). Effective 08/23/91.

09/16/91 The Commission streamlined the tariff regulation of certain AT&T business services and authorized IXCs to offer services pursuant to individually negotiated customer contracts that were generally available to similarly situated customers. The Commission amended §§ 61.3, 61.33, 61.38, 61.41, 61.42, 61.47, and 61.48, and added § 61.55. Competition in the Interstate Interexchange Marketplace, CC Docket No. 90-132, [Report and Order](#), 6 FCC Rcd 5880 (1991). Recon. in part, Memorandum Opinion and Order, 6 FCC Rcd 7569 (1991). Effective 11/25/91.

11/22/91 The Commission amended § 61.58 (c)(6) and (c)(7) to further streamline AT&T's Tariff 12 offerings. Competition in the Interstate Interexchange Marketplace, CC Docket No. 90-132, [Order](#), 6 FCC Rcd 7255 (1991). Effective 11/25/91.

08/06/92 The Commission amended § 61.49(g) and modified the cost support required to tariff new services offered by price cap LECs. Policy and Rules Concerning Rates for Dominant Carriers, CC Docket Nos. 87-313 and 89-79, [Memorandum Opinion & Order on Second Further Reconsideration](#), 7 FCC Rcd 5235 (1992). Effective 11/18/92.

10/16/92 The Commission amended § 61.47, established pricing rules to govern transport charges for two years. The Commission required LECs to impose a flat-rate entrance facilities charge, and refused to mandate any fixed ratio between DS1 and DS3 rates for all LECs. Transport Rate Structure and Pricing, Petition for Waiver of the Transport Rules filed by GTE Service Corporation, CC Docket No. 91-213, [Report and Order and Further Notice of Proposed Rulemaking](#), 7 FCC Rcd 7006 (1992). Effective 02/18/93.

10/19/92 The Commission amended § 61.38 to require Tier 1 LECs to file expanded interconnection tariffs for special access within 120 days of this Order's release. Additionally, the Commission granted the same LECs additional special access pricing flexibility. Expanded Interconnection with Local Telephone Company Facilities, CC Docket No. 91-141, [Report and Order and Notice of Proposed Rulemaking](#), 7 FCC Rcd 7369 (1992). Effective 02/16/93.

01/29/93 The Commission added § 61.42(e)(1)(vi) and revised § 69.118, adopting rate structure and pricing rules for 800 data base access services that required LECs to price basic 800 data service on a per query basis, to employ reasonably reflective rate structures for optional vertical features, and to place all 800 data base service subelements in a new data base service category. Provision of Access for 800 Service, CC Docket No. 86-10, [Second Report and Order](#), 8 FCC Rcd 907 (1993). Effective 03/01/93.

03/11/93 The Commission amended § 61.33, requiring petitions seeking investigation, suspension, or rejection of tariffs be filed within six calendar days after the date of the filing, and replies be filed within three calendar days after the latest date that petitions could be filed. Amendment to Section 1.773 of the Commission's Rules Regarding Pleading Cycle for Petitions Against Tariff Filings made on 14 Days' Notice, CC Docket No. 92-117, [Report and Order](#), 8 FCC Rcd 1683 (1993). Effective 03/05/93.

03/23/93 The Commission amended § 61.49, requiring BOCs to make the same cost showings for ONA BSEs unbundled from existing services in the future as were done for their initial set of unbundled BSEs. Amendments to the Commission's Rules Relating to the Creation of Access Charge Subelements for ONA, CC Docket No. 89-79, [Report and Order](#), 8 FCC Rcd 2104 (1993). **Erratum**, 8 FCC Rcd 4830 (1993). Effective 06/30/93.

05/07/93 The Commission amended sections in Parts 36, 61, and 69 of its rules to reflect conversion to metric system. Metric Conversion of Parts 1, 2, 15, 18, 21, 22, 23, 25, 36, 61, 63, 68, 69, 73, 74, 76, 78, 80, 87, 90 and 94 of the Commission's Rules, [Order](#), 8 FCC Rcd 3720 (1993). Effective 09/24/93.

05/14/93 Amending § 61.42, the Commission replaced price cap regulation with further streamlined regulation for all of AT&T's Basket 2 services, except for 800 Directory Assistance. Competition in the Interstate Interexchange Marketplace, CC Docket No. 90-132, [Second Report and Order](#), 8 FCC Rcd 3668 (1993). Effective 05/21/93.

06/09/93 The Commission amended § 61.42 to require LECs to provide to interstate common carriers non-discriminatory access to the billing name and address of LEC subscribers who use a LEC calling card or authorize collect and third party calls to pay for a carrier's services. The Commission also amended §§ 69.128 and 69.307 to establish access rate elements for LECs' provision of BNA access service. Policies and Rules Concerning Local Exchange Carrier Validation and Billing Information For Joint Use Calling Cards, CC Docket No. 91-115, [Second Report and Order](#), 8 FCC Rcd 4478 (1993). Effective date 02/23/94. Deadlines extended by Order, 8 FCC Rcd 6393 (1993), and again by Second Order on Reconsideration, 8 FCC Rcd 8798 (1993).

06/11/93 The Commission amended § 65.700 to specify that maximum allowable rate of return for ILECs under optional incentive plan was determined by adding a fixed increment of 1.5% to carriers' presubscribed rate of return. The Commission also amended §§ 61.3, 61.38, 61.39, 61.45, 61.50, 61.58, and 69.3. Additionally, the Commission adopted new tariff rules to implement regulatory reform for small and mid-sized ILECs that remain subject to rate of return regulation. Noting that there are only approximately 6% of ILECs subject to rate of return regulation and that these smaller ILECs faced increasing challenges, made three changes to meet ILECs' need for regulatory flexibility by: 1) adopted optional, incentive-based plan that permitted carriers to establish rates based on historical costs; 2) adopted rules that expanded scope of existing small company rules by allowing ILECs serving 50,000 or fewer access lines to file annual common line rates based on historical costs; and 3) amended the then-current rules to permit carriers that did not elect to participate in incentive plan or small company rules to file tariffs every two years. The optional incentive plan was available to any non-price cap ILEC for either traffic sensitive rates only, or for both traffic sensitive and common line rates. Regulatory Reform for Local Exchange Carriers Subject to Rate of Return Regulation, CC Docket No. 92-135, [Report and Order](#), 8 FCC Rcd 4545 (1993). Effective 08/05/93.

08/18/93 The Commission amended §§ 61.20, 61.21, 61.22, and 61.23, permitting nondominant carriers to file their interstate tariffs on not less than one day's notice. Additionally, the Commission amended its tariff requirements to allow nondominant carriers to state a fixed rate or a reasonable range of rates in their tariffs, and allowed carriers to file tariffs and revisions on 3 ½" floppy diskettes. Tariff Filing Requirements for Nondominant Carriers, CC Docket No. 93-36, [Memorandum Opinion and Order](#), 8 FCC Rcd 6752 (1993). **Erratum** (rel. Aug. 31, 1993). **Final Rule Correction**, 58 Fed. Reg. 48323 (1993). Effective 8/23/93.

09/02/93 The Commission amended § 61.47 to require Tier 1 LECs to provide expanded interconnection to all requesting parties. The Commission granted Tier 1 LECs additional switched transport pricing flexibility for zone density pricing and volume and term discounts. Expanded Interconnection with Local Telephone Company Facilities, CC Docket No. 91-141, [Second Report and Order and Third Notice of Proposed Rulemaking](#), 8 FCC Rcd 7374 (1993). Effective 11/16/93.

01/31/94 The Commission amended §61.3, moving transport services from the price cap basket for traffic sensitive services to a combined "trunking" basket that contained transport and special access services. Additionally, the Commission adapted the pricing bands applicable to the service categories and subcategories to constrain LECs' changes in rates and rate relationships while giving LECs some pricing flexibility. Transport Rate Structure and Pricing, CC Docket No. 91-213, [Second Report and Order](#), 9 FCC Rcd 615 (1994). Effective 03/04/94.

05/27/94 The Commission amended § 61.42, mandating that Tier 1 LECs, that were not members of NECA, provide signaling information necessary to provide tandem switching to competitive access providers (CAPs), IXCs, end users, and any interested third parties. Expanded Interconnection with Local Telephone Company Facilities, CC Docket No. 91-141, [Third Report and Order](#), 9 FCC Rcd 2718 (1994). Effective 09/15/94.

01/12/95 The Commission amended § 61.42, removing AT&T commercial services from price cap regulation and initiated streamlined regulation for those services. Revisions to Price Cap Rules for

AT&T Corp., CC Docket No. 93-197, [Report and Order](#), 10 FCC Rcd 3009 (1195). **Erratum**, 10 FCC Rcd 2962 (1995). Effective 02/23/95.

02/17/95 The Commission amended § 61.42(b)(3). The Commission reaffirmed the application of the substantial cause test to AT&T's contract based tariff modifications, and relieved AT&T of CEI requirements for any streamlined service. Competition in the Interstate Interexchange Marketplace, CC Docket No. 90-132, [Memorandum Opinion and Order on Reconsideration](#), 10 FCC Rcd 4562 (1995). Effective 04/13/95.

04/07/95 The Commission adopted interim LEC price cap rules that affirmed Commission position that LEC price cap regulation should replicate the effects of a competitive market, should create incentives for carriers to set prices for services at reasonable levels and to offer new services responsive to customer demand. The Commission amended §§ 61.3, 61.45, 61.47, and 61.48. Price Cap Performance Review for Local Exchange Carriers, CC Docket No. 94-1, [First Report and Order](#), 10 FCC Rcd 8961 (1995). **Erratum** (rel. Apr. 26, 1995). Effective 05/19/95.

04/14/95 The Commission amended § 61.3 to require price cap LECs to make an "add-back" adjustment to their interstate revenues when calculating earnings used for determining sharing and low-end adjustments in years following a year in which the LECs incur a sharing obligation or made a low end adjustment. Price Cap Regulation of Local Exchange Carriers and Rate of Return Sharing and Lower Formula Adjustment, CC Docket No. 93-179, [Report and Order](#), 10 FCC Rcd 5656 (1995). Effective 05/24/95.

09/21/95 The Commission amended §§ 61.42, 61.45, 61.47, and 61.48, establishing a separate price cap basket for video dialtone service, assigning an X factor to the video dialtone services in the basket, and setting the price cap indices for the basket to reflect video dialtone rates in effect when service is under price cap regulation. Price Cap Performance Review for Local Exchange Carriers; Treatment of Video Dialtone Services Under Price Cap Regulation, CC Docket No. 94-1, [Second Report and Order and Third Further Notice of Proposed Rulemaking](#), 10 FCC Rcd 11098 (1995). Effective 02/05/96.

12/24/96 The Commission amended §§ 61.47, 61.49, and 69.4, eliminating the lower service band indices to allow price cap LECs to lower their access prices. The Commission also streamlined the process for price cap LECs to offer new switched access services. Price Cap Performance Review for Local Exchange Carriers, CC Docket No. 94-1, [Third Report and Order](#), 11 FCC Rcd 21354 (1996). Effective 06/30/97. **Correcting amendment**, 62 Fed. Reg. 42217 (1997). Effective 06/30/97.

03/13/96 The Commission amended §§ 61.20, 61.21, and 61.22, and revised § 61.23. The new rules empowered the Commission to issue worldwide section 214 authorizations to facilities based carriers for the provision of international services, reduced paperwork obligations, streamlined tariff requirements on non-dominant international carriers, and ensured that essential information is available to carriers and users. Streamlining the International Section 214 Authorization Process and Tariff Requirements, IB Docket No. 95-118, [Report and Order](#), 11 FCC Rcd 12884 (1996). Effective 05/09/96.

10/31/96 In compliance with 47 U.S.C. § 160, the Commission adopted a policy of complete detariffing for all non-dominant interexchange carriers. The carriers were ordered to cancel their tariffs for interstate (domestic) interexchange services, within nine months of effective date of order. The Commission amended §§ 61.3 (jj), 61.72 61.74, and 64.1900, as well as added new section 61.20. The new rules only required carriers to file an annual certification, stating that they provide such services in compliance with geographic rate averaging and rate integration obligations, pursuant 47 U.S.C. § 254(g). Policies and Rules Concerning the Interstate, Interexchange Marketplace; Implementation of Section 254(g) of the Communications Act of 1934, as amended, CC Docket No. 96-61, [Second Report and Order](#), 11 FCC Rcd 20730 (1996). Effective 12/22/96. On February 13, 1997, the U.S. Court of Appeals, D.C. Circuit stayed effective dates of §§ 61.3(jj), 61.20 through 61.24, 61.72 (a) and (b) and 61.74 (d) until 5/1/00. (*Interexchange Marketplace Second Report and Order*).

01/31/97 The Commission adopted rules to provide for streamlined tariff filings by LECs, instituting shorter notice periods, (7 or 15 days' notice), requiring e-mail notification and in-hand service of

petitions and reply pleadings, and use of standard protective orders. The Commission amended §§ 61.3, 61.33, 61.49, 61.51, 61.52, and 61.58. Implementation of Section 402(b)(1)(A) of the Telecommunications Act of 1996, CC Docket No. 96-187, [Report and Order](#), 12 FCC Rcd 2170 (1997). Recon. 14 FCC Rcd 12293 (1999). Effective 2/8/97.

02/18/97 The Commission adopted new rules that incorporated an optional incentive based plan and expanded the scope of the small company rules to allow simplified tariff filing procedures for traffic sensitive rates and common line rates. The new rules also allowed carriers that were non-participants in the incentive plan or the small company rules to file access tariffs every two (2) years. The Commission amended §§ 61.39, 61.50, and 69.3. Regulatory Reform for Local Exchange Carriers Subject to Rate of Return Regulation, CC Docket No. 92-135, [Order on Reconsideration](#), 12 FCC Rcd 2259 (1997). **Erratum** (rel. Feb. 27, 1997). Effective 07/07/97.

03/06/97 In response to the 02/13/97 order of the U.S. Court of Appeals, the Commission issued a *Public Notice* giving guidance on application of its 10/31/96 Order. The result of the Court's stay was that the tariffing rules in place prior to 12/22/96 remained in effect. Guidance Concerning Implementation as a Result of the State Order of the U.S. Court of Appeals for D.C. Circuit, CC Docket No. 96-61, [Public Notice](#), 13 FCC Rcd 4045 (1998).

05/16/97 The Commission amended §§ 61.3, 61.42, 61.45, 61.46, 61.47, and 61.48, when it sought to ensure that traffic-sensitive costs were recovered through traffic sensitive charges and non-traffic sensitive costs were recovered through flat-rate charges, wherever appropriate. The Commission determined that: subscriber line charges (SLCs) for price cap companies' multi-line business lines would be adjusted to recover average per-line interstate-allocated common line costs beginning 01/01/97, with ceiling set at \$9, adjusted annually for inflation; non-primary residential lines gradually phase-in adjustments to ceiling beginning 01/01/98; and SLC ceiling for primary residential and single-line business lines would not increase. Established separate SLCs for ISDN lines. The Commission determined that price cap ILECs were allowed to recover common line revenues through PICC – flat, per-line charge assessed on end-user's presubscribed IXC, with FCC setting caps on PICCs. Additionally, the Commission re-assigned all line-side port costs from local switching rate element to common line rate elements, including SLC and flat-rated PICC. TS costs of local switching continued to be recovered through per-minute local switching charges. The Commission required ILECs to implement final cost-based rate structure for tandem-switched transport in four stages over 2 year transition period. Additionally, the Commission removed Long Term Support from access charges. Access Charge Reform, CC Docket No. 96-262; Price Cap Performance Review for Local Exchange Carriers, CC Docket No. 94-1; Transport Rate Structure and Pricing, CC Docket No. 91-213, End User Common Line Charges, CC Docket No. 95-72, [First Report and Order](#), 12 FCC Rcd 15982 (1997). Correcting amendments in 62 Fed. Reg. 40460 (1997), further corrections in 62 Fed. Reg. 48485 (1997). Effective 06/15/97; §§ 61.45 and 61.47 became effective 01/01/98.

05/21/97 The Commission amended § 61.45, adopting a price cap plan that selected 6.0% as the first component of the X-factor, and retaining .5% as the consumer productivity dividend. Price Cap Performance Review for Local Exchange Carriers, CC Docket No. 94-1; Access Charge Reform, CC Docket No. 96-262, [Fourth Report and Order in CC Docket No. 94-1 and Second Report and Order in CC Docket No. 96-262](#), 12 FCC Rcd 16642 (1997). Correcting amendments in 62 Fed. Reg. 48485 (1997). Effective 06/17/97.

08/20/97 The Commission modified 10/31/96 *Interexchange Marketplace Second Report and Order* so as to allow (1) tariffing of dial around 1+ services using the carrier access code, and (2) tariffing of new customer services for a limited period of 45 days. Policies and Rules Concerning the Interstate, Interexchange Marketplace; Implementation of Section 254(g) of the Communications Act of 1934, as amended, CC Docket No. 96-61, [Order on Reconsideration](#), 12 FCC Rcd 15014 (1997). Effective 12/04/97.

08/22/97 The Commission amended §§ 61.42, 61.45, 61.47, and 61.48 terminating as moot its consideration of price cap regulation for video dialtone service and removed the separate basket for video dialtone services from their price cap rules. Price Cap Performance Review for Local Exchange Carriers, Treatment of Video Dialtone Services Under Price Cap Regulation, CC Docket No. 94-1, [Order](#), 12 FCC Rcd 12277 (1997). Effective 08/22/97.

05/28/98 The Commission established 07/01/98 as the date for LECs to file tariffs and associated documents in the Common Carrier Bureau's Electronic Tariff Filing System (ETFS). The Commission amended §§ 61.11, and 61.12 (reserved) and added §§ 61.13 through 61.17. Electronic Tariff Filing System (ETFS), DA 98-914, [Order](#), 13 FCC Rcd 12335 (1998). Effective 7/1/98.

03/31/99 On further reconsideration, the Commission adopted public disclosure requirements regarding the rates, terms, and conditions governing detariffed services. Policies and Rules Concerning the Interstate, Interexchange Marketplace; Implementation of Section 254(g) of the Communications Act of 1934, as amended, CC Docket No. 96-61, ***Second Order on Reconsideration and Erratum***, 14 FCC Rcd 6015 (1999). Effective 05/24/99.

05/21/99 The U.S. Court of Appeals, D.C. Circuit reversed and remanded the Commission's 5/21/97 decision regarding the selection of 6.0% as the first component of the X-Factor and retaining the 0.5% consumer productivity dividend. [United States Telephone Association, et al., Petitioners, v. Federal Communications Commission and United States of America, Respondents](#), 188 F. 3d 521 (D.C. Cir. 1999). On June 21, 1999, the U.S. Court of Appeals, D.C. Circuit, granted the Commission a stay of this decision until 4/1/00.

08/03/99 The Commission revised numerous rules and reorganized the structure of Part 61. The rule revisions enabled carriers to submit tariff filing fees electronically and to use electronic signatures. The new rules eliminated current business office posting requirements for all carriers filing tariffs, and required ILECs with websites to post tariffs thereon. The Commission: amended §§ 61.1 through 61.3, 61.13 through 61.17, 61.19, 61.20, 61.21, 61.22, 61.23, 61.32, 61.33, 61.38, 61.39, 61.41, 61.42, 61.45, 61.47, 61.48, 61.49, 61.54, 61.58, 61.72, 61.74, 61.132, 61.153, 69.2, 69.111, 69.113, 69.114 and 69.153; added §§ 61.18, 61.25, 61.28, 61.31, and 61.66; designated §§ 61.32 through 61.52, 61.54, 61.58 and 61.59 as Subpart E; redesignated §§ 61.19 through 61.24, 61.53 as 61.83, 61.56 as 61.86, 61.57 as 61.87, 61.59, and 61.67 through 61.74; and revised §§ 61.43, 61.59, 61.69, 61.171, 61.191 and 69.3; removed §§ 61.35, 61.36, 61.55, 61.67 and 61.71; and removed and reserved §§ 61.44, 61.50, 61.51, and 69.2. 1998 Biennial Regulatory Review - Part 61 of the Commission's Rules and Related Tariffing Requirements, CC Docket No. 98-131, Implementation of Section 402(b)(1)(A) of the Telecommunications Act of 1996, CC Docket No. 96-187, [Report and Order and First Order on Reconsideration](#), 14 FCC Rcd 12293 (1999). Effective 09/27/99.

08/27/99 The Commission revised rules that govern provision of interstate access services by price cap LECS, permitting price cap LECS to file tariffs for new services on a streamlined basis, without prior approval and to define scope and number of zones for geographic deaveraging rates for services within a trunking basket, provided each zone, except highest-cost zone, accounts for at least 15% of LEC's trunking basket revenues in a study area and annual prices were limited to 15% increase within zone. The Commission established a two-phase framework for granting additional pricing flexibility and established triggers for granting that relief, based on specific services. Additionally, the Commission allowed price cap LECS to remote interstate intraLATA toll services and corridor services from interexchange, provided price cap LEC has implemented intra- and interLATA toll dialing parity in all states in which it provided local exchange service. The Commission amended numerous sections in Parts 61 and 69. Access Charge Reform, CC Docket No. 96-262, Price Cap Performance Review for Local Exchange Carriers, CC Docket No. 94-1, Interexchange Carrier Purchases of Switched Access Services Offered by Competitive Local Exchange Carriers, CCB/CPD File No. 98-63, Petition of US WEST Communications, Inc. for Forbearance from Regulation as a Dominant Carrier in the Phoenix, Arizona MSA, CC Docket No. 98-157, [Fifth Report and Order and Further Notice of Proposed Rulemaking](#), 14 FCC Rcd 14221 (1999). Effective 10/22/99, except for §§ 61.47, 69.709, 69.711, 69.713, 69.729, which became effective on 11/04/99.

04/28/00 U.S. Court of Appeals, D.C. Circuit upheld the Commission's orders requiring detariffing for interstate, domestic, interexchange services. [MCI WorldCom, Inc. et al v. FCC](#), 209 F.3d 760 (2000).

05/01/00 U.S. Court of Appeals, D.C. Circuit lifted the stay, and the rules adopted in *Interexchange Marketplace Second Report and Order* became effective. [MCI WorldCom, Inc. et al. v. FCC](#), No. 96-1459, *Per Curiam Order*, (D.C. Cir. May 1, 2000).

05/09/00 The Commission implemented a nine-month transition period ending January 31, 2001, in the manner specified by the *Interexchange Marketplace Second Report and Order*. Domestic, Interexchange Carrier Detariffing Order Takes Effect, Common Carrier Bureau Implements Nine-Month Transition Period, Comment Sought on Modifications to Transition Plan, CC Docket No. 96-61, [Public Notice](#), 16 FCC Rcd 3688 (2001). Effective 05/09/00.

05/31/00 The Commission adopted the Coalition for Affordable Local and Long Distance Services (CALLS) proposal to overhaul the interstate access charge system. The changes adopted in this order included: 1) removal of \$650 million in common line costs from access charges, and the creation of an explicit, portable interstate access universal service support mechanism at the same level; 2) recovery of LEC universal service contributions directly from end users; 3) adjustment of the Lifeline Assistance universal service support mechanism to shield low-income customers from increases in the residential SLC; 4) elimination of the residential PICC; 5) increasing the primary residential and single-line business SLC caps, beginning at \$4.35 on July 1, 2000, and gradually increasing to \$6.50 on July 1, 2003; 6) targeting of an X-factor for switched access to switching and switched transport elements; 7) creating a separate X-factor for special access services; and 8) \$2.1 billion in reductions to switched access usage rates effective July 1, 2000. The Commission revised §§ 54.403, 54.701, 54.702, 54.705, 54.715, 54.800, 54.801, 54.802, 54.803, 54.804, 54.805, 54.806, 54.807, 54.808, 54.809, 61.3, 61.41, 61.42, 61.45, 61.46, 61.47, 61.48, 69.4, 69.115, 69.152, 69.153, 69.154, 69.155, 69.156, 69.157, and 69.158. The Commission removed §§ 69.201, 69.202, 69.203, 69.204, and 69.205, 69.206, 69.207, 69.208, and 69.209. Access Charge Reform, CC Docket No. 96-262, Price Cap Performance Review for Local Exchange Carriers, Low-Volume Long Distance Users, CC Docket No. 99-249, Federal-State Joint Board on Universal Service CC Docket No. 96-45, [Sixth Report and Order in CC Docket No. 96-262 and 94-1, Report and Order in CC Docket No. 99-249, Eleventh Report and Order in CC Docket No. 96-45](#), 15 FCC Rcd 12962 (2000). [Errata](#) (rel. June 14, 2000), petition for stay denied, 15 FCC Rcd. 13191 (2000) (CALLS Order). Effective 6/21/00.

11/17/00 The Commission issued an Order resolving a number of issues relevant to the transition to a detariffed regime. Specifically, the Commission : 1) extended the deadline for detariffing mass-market consumer services from January 31, 2001 to April 30, 2001; 2) affirmed that the deadline for detariffing contract-type services was January 31, 2001; 3) declined to permit IXCs to continue filing new or revised contract tariffs that bundle domestic and international service until such time as the Commission may have detariffed their international offerings; 4) permitted the practice of filing a bundled domestic and international tariff with a disclaimer stating that the domestic portion of the document was for information purposes only; 5) required IXCs to be in full compliance with the public disclosure and Internet web-posting requirements at the time any service was detariffed, with respect to that service; 6) required Internet webs sites and public disclosure sites to be updated no later than 24 hours after the effective date of a change in the rates, terms or conditions of a detariffed service; 7) clarified that the disclosure and web posting requirements apply to contract services as well as to mass-market offerings; 8) specified the filing deadlines for the required annual certification of compliance with geographic rate averaging and rate integration requirements; and 9) clarified the application of tariff filing requirements during the transition period. Policy and Rules Concerning the Interstate, Interexchange Marketplace, Implementation of Section 254(g) of the Communications Act of 1934, as amended, CC Docket No. 96-61, [Order](#), 15 FCC Rcd 22321 (2000). Effective 11/17/00.

03/20/01 The Commission amended §§ 61.3, 61.19, 61.28, 61.74 and 64.1001 to detariff international interexchange services. In light of the increasingly competitive state of the international interexchange marketplace, the Commission found that the deregulatory actions to detariff international interexchange services would serve to promote the pro-competitive goals of the *1996 Act* and foster increased competition. The Commission concluded that the statutory requirement that non-dominant common carriers file tariffs for their international interexchange services was no longer necessary for the majority of international services as a result of competition in the market for international interexchange services and that complete detariffing of those services satisfied the forbearance criteria of Section 10 of the *1996 Act*. 2000 Biennial Regulatory Review, Policy and Rules Concerning the International Interexchange Marketplace, IB Docket No. 00-202, [Report and Order](#), 16 FCC Rcd 10647 (2001). Effective 04/21/01.

04/27/01 The Commission added § 61.26 to address a number of interrelated issues concerning CLEC charges for interstate switched access services and the obligations of IXCs to exchange access traffic with CLECs. Under the regime adopted, CLECs were restricted only in the manner that they recover



their costs from those access-service consumers that had no competitive alternative. The Commission implemented this restriction on the CLECs' exercise of their monopoly power by establishing a benchmark level at which CLEC access rates would be conclusively presumed to be just and reasonable and at (or below) which they may therefore be tariffed. Above the benchmark, CLECs would be mandatorily detariffed. CLECs seeking to charge IXCs rates that were in excess of this benchmark could do so, but only outside of the regulated tariff process. The benchmark rate for a CLEC's interstate switched access services was set at \$0.025 per minute but would decrease to \$0.012 per minute over a period of three years. Reform of Access Charges Imposed by Competitive Local Exchange Carriers, CC Docket No. 96–262, [Seventh Report and Order and Further Notice of Proposed Rulemaking](#), 16 FCC Rcd 9923 (2001). [Errata](#) (rel. May 30, 2001). Effective 06/20/01.

08/31/01 The Commission amended §§ 61.1, 61.15, 61.21 and 61.33 to require persons and entities doing business with the Commission to obtain a unique identifying number called the Commission Registration Number (FRN), and supply it when doing business with the Commission. Amendment of Parts 1, 21, 61, 73, 74 and 76 of the Commission's Rules, Adoption of Mandatory FCC Registration Number, MD Docket No. 00-205, [Report and Order](#), 16 FCC Rcd 16138 (2001). Effective 12/03/01.

03/14/02 The Commission created a Media Bureau, Wireline Competition Bureau and Consumer and Governmental Affairs Bureau, by reorganizing the International Bureau and by further consolidating enforcement and consumer information functions. The Common Carrier Bureau was renamed the Wireline Competition Bureau and continued to be responsible for the policy programs of telecommunications common carriers and ancillary operations (other than wireless telecommunications services). The Commission amended various sections of Parts 32, 51, 52, 54, 61, 64 and 65 of its rules to reflect the new structure. Establishment of the Media Bureau, the Wireline Competition Bureau and the Consumer Governmental Affairs Bureau, Reorganization of the International Bureau and Other Organizational Changes, [Order](#), 17 FCC Rcd 4672 (2002). Effective 03/25/02.

05/21/02 The U.S. Court of Appeals, D.C. Circuit, upheld the Commission's directive (16 FCC Rcd 2834 (2001)) to classify ISP costs as intrastate for separations purposes. The Court concluded that the Commission's intrastate classification of costs was consistent with the Commission's temporary exemption of enhanced service providers from interstate access charges and would be temporary, and allowable, as well. Additionally, the Court vacated the Commission's order requiring ACS Anchorage, Inc. to pay damages for rate-of-return violations. The Court found that the Part 61 streamlined tariff provisions, under which a tariff is deemed lawful and immune to refund liability if not subject to suspension or investigation within a prescribed time frame after filing, also barred refunds for rate-of-return violations. [ACS Anchorage, Inc. v. FCC, et al.](#), 290 F. 3d 403 (D.C. Cir. 2002).

02/26/04 The Commission amended §§ 54.303, 61.38, 61.41 and 69.123 to provide rate-of-return carriers greater flexibility to respond to changing marketplace conditions. Specifically, Commission modified the "all-or-nothing" rule to permit rate-of-return carriers to bring recently acquired price cap lines back to rate-of-return regulation; granted rate-of-return carriers the authority to provide geographically deaveraged transport and special access rates, subject to certain limitations; and merged LTS with ICLS. Commission issued a FNPRM seeking comment on two specific plans that propose establishing optional alternative regulation mechanisms for rate-of-return carriers and further modifications to the "all-or-nothing" rule that would permit a rate-of-return carrier to adopt an alternative regulation plan for some of its study areas, while retaining rate-of-return regulation for others. Multi-Association Group (MAG) Plan for Regulation of Interstate Services of Non-Price Cap Incumbent Local Exchange Carriers and Interexchange Carriers, Federal-State Joint Board on Universal Service, CC Docket Nos. 00-256, 96-45, [Report and Order and Second Further Notice of Proposed Rulemaking](#), 19 FCC Rcd 4122 (2004). [Errata](#), 19 FCC Rcd 6872 (2004). Effective 06/07/04. § 61.38(b)(4), §§61.41(c), (d) and (e), and §69.123(a)(1), (a)(2), (c) and (d) became effective 02/23/05.

04/14/04 The Commission made several editorial corrections to §§ 54.303, 61.41, and 69.123 rule changes adopted in its 02/26/04 [Order](#) (19 FCC Rcd 4122 (2004)) in the MAG proceeding. The Commission also amended § 61.38 by deleting and reserving § 61.38(b)(4), explaining that this action was inadvertently omitted from the 02/26/04 order. Multi-Association Group (MAG) Plan for Regulation of Interstate Services of Non-Price Cap Incumbent Local Exchange Carriers and Interexchange Carriers, Federal-State Joint Board on Universal Service, CC Docket Nos. 00-256, 96-

45, [Errata](#), 19 FCC Rcd 6872 (2004). Effective 06/07/04. § 61.38(b)(4), §§61.41(c), (d) and (e), and §69.123(a)(1), (a)(2), (c) and (d) became effective 02/23/05.

05/18/04 The Commission addressed several petitions for reconsideration and clarification of the Commission's 2001 CLEC *Access Reform Order* (16 FCC Rcd 9923 (2001), which established a set of declining access benchmarks to more closely align CLEC with ILEC access rates. The Commission amended § 61.26 to clarify that a CLEC may charge the full access benchmark only when providing IXCs with access to the CLEC's own end-users. The Commission clarified that a CLEC that provides only intermediate access functions may not charge rates that are higher than the corresponding competing ILEC rates for the same access components. The Commission clarified that the "competing ILEC" switching rate is the end office switching rate when a CLEC originates or terminates calls to its end-users and the tandem switching rate when a CLEC passes traffic from one carrier to another. The Commission clarified that a CLEC serving an area with multiple ILECs may either charge multiple access rates depending on the location of the end-user or may develop a "blended" rate based on a weighted average of competing ILEC rates. The Commission also declined to expand its "rural exemption," which allows CLECs to charge NECA tariff rates under certain conditions. Access Charge Reform, Reform of Access Charges Imposed by Competitive Local Exchange Carriers, Petition of Z-Tel Communications for Temporary Waiver of Commission Rule 61.26(d) to Facilitate Deployment of Competitive Service in Certain Metropolitan Statistical Areas, CC Docket No. 96-262, CCB/CPD File No. 01-19, [Eighth Report and Order and Fifth Order on Reconsideration](#), 19 FCC Rcd 9108 (2004). Effective 07/26/04.

06/25/04 The Commission amended its schedule of application fees to adjust the fees for processing applications and other filings. Tariff filings made pursuant to § 1.1105 now cost \$720.00 per transmittal. Amendment of the Schedule of Application Fees Set Forth in Section 1.1102 through 1.1107 of the Commission's Rules, GN Docket No. 86-285, [Order](#), 19 FCC Rcd 11776 (2004). Effective 08/06/04.

11/12/04 The Commission responded to a 2001 petition, clarifying that its entry/exit surrogate (EES) methodology used for determining the jurisdiction of a call (as quantified by the percent interstate usage or PIU factor) is properly applied from the inception of a call to its completion, without regard to intermediate points of switching or exchanges between carriers. Thrifty Call, Inc. Petition for Declaratory Ruling Concerning BellSouth Telecommunications, Inc. Tariff F.C.C. No. 1, CCB/CPD File No. 01-17, [Declaratory Ruling](#), 19 FCC Rcd 22240 (2004). Effective 11/12/04.

02/17/05 The Commission required ILECs to adopt separate presubscribed interexchange carrier (PIC) change charges for changes that are processed electronically and manually. ILECs conducting electronic changes upon IXC requests can now only charge \$1.25 (down from \$5.00 safe harbor rate). ILECs making manual changes may charge up to \$5.50 under the new safe harbor rate (higher if supported by actual costs) In addition, consumers changing their PICs in conjunction with changing their intraLATA primary IXC (LPIC), would only be assessed half of the federally-tariffed PIC change charge by ILECs. The Commission required ILECs to amend their tariffs to include a rate that is 50% of the manual PIC change charge rate, and another rate that is 50% of the electronic PIC change charge rate. The new rates, however, do not include recovery of ILECs' costs of handling PIC freezes or third-party verification costs. Presubscribed Interexchange Carrier Charges, CC Docket No. 02-53, [Report and Order](#), 20 FCC Rcd 3855 (2005), Order, 20 FCC Rcd 7661 (2005), extended the deadline to file tariff revisions to October 17, 2005. Effective 04/14/05.

10/14/05 The Commission granted waivers of its February 17, 2005 Order requiring ILECs to amend their tariffs to include a rate that is 50% of the manual presubscribed interexchange carrier (PIC) change charge rate, and another rate that is 50% of the electronic PIC change charge rate. The deadline to file revised tariff rates was extended from October 17, 2005 to January 1, 2006. Presubscribed Interexchange Carrier Charges, CC Docket No. 02-53, [Order](#), 20 FCC Rcd 16320 (2005). Effective 10/14/05.

04/11/06 DC Circuit Court reversed a Commission's ruling that Vitelco owed AT&T refunds for periods where its tariff was suspended, but the Commission later reconsidered its suspension order, finding that the reconsideration order effectively restored "deemed lawful" status to Vitelco's tariff notwithstanding the suspension. The court denied Vitelco's claim that the statute of limitations had expired on AT&T's claim, and affirmed the FCC's position that the statute didn't begin running until

Vitelco filed its final Form 492 Report. [Virgin Islands Telephone Corporation v. Federal Communications Commission and United States of America](#), 444 F.3d 666 (D.C. Cir. 2006).

01/25/08 The Commission amended §§ 61.14, 61.17, 61.20, 61.32, 61.153, and 64.709, as well as sections in Parts 0, 1, 2, 73, and 80. The Order changed the name and address that regulatees, applicants and licensees use to submit, or file, certain applications and payments to the Commission, and are non-substantive, non-controversial rule amendments necessary to reflect a recent change by the Commission in the bank providing the Commission's lockbox service. In order for filers to become familiar with the address changes in the rules, the Commission allowed a transition period of forty-five days after Federal Register Publication whereby fees, applications, and other filings erroneously submitted by parties to the former lockbox bank shall be forwarded automatically to the new bank at the address listed in the rules. In addition, during the transition period, the date that such fees, applications, and other filings are date-stamped as received by the former lockbox bank shall be deemed to be the official filing date of such submissions. Amendment of Parts 0, 1, 2, 61, 64, 73, and 80 of the Commission's Rules, Concerning Commission Organization, Practice and Procedure, Frequency Allocations and Radio Treaty Matters; General Rules and Regulations, Tariffs, Miscellaneous Rules Relating to Common Carriers, Radio Broadcast Services, and Stations in the Maritime Services, [Order](#), 23 FCC Rcd 680 (2008). Effective 02/19/08.

06/09/11 The Commission revised Part 61 and 64 rules to enable all tariff filers to file tariffs electronically over the Internet, using the Electronic Tariff Filing System. The Commission also clarified and made more consistent certain technical rules related to tariff filings. Revises §§ 61.3, 61.13, 61.14, 61.15, 61.16, 61.17, 61.20, 61.38, 61.39, 61.40, 61.41, 61.42, 61.43, 61.45, 61.46, 61.47, 61.48, 61.49, 61.51, 61.52, 61.55, 61.58, 61.59, 61.66, 61.68, 61.83, 61.86, 61.87, 61.132, 61.134, and 61.191. Removes §§ 61.21 through 61.23, 61.32, 61.33 and Subpart H (61.151 through 61.153). Electronic Tariff Filing System, WC Docket No. 10-141, [Report and Order](#), 26 FCC Rcd 8884 (2011). Effective 11/17/11.

11/18/11 The Commission revised its rules to reform universal service and intercarrier compensation. The FCC created a new Connect America Fund with an annual budget of no more than \$4.5 billion, made mobile broadband an independent universal service objective for the first time in history, and dedicated support through a new separate Mobility Fund for wireless carriers. Revises §§ 61.3, 61.26 and 61.39. Connect America Fund; A National Broadband Plan for Our Future, WC Docket No. 10-90 et al., [Report and Order and Further Notice of Proposed Rulemaking](#), 26 FCC Rcd 17663 (2011). Part 61 rules effective 12/29/11.

02/27/12 The Commission clarified certain rules in the USF/ICC Transformation Order which reforms universal service and intercarrier compensation. Clarifies § 61.26. Connect America Fund; A National Broadband Plan for Our Future, WC Docket No. 10-90 et al. [Order](#), 27 FCC Rcd 2142 (2012). Effective 05/07/12.

04/28/17 The Commission amended and added certain tariff and access charge rules relating to business data services. The Report and Order recognizes the strong competition present in the business data services market and modernizes the FCC's regulatory structure for BDS to bring new technologies, products, and services to businesses and consumers. The order amended §§ 61.45 and 61.55 and added a new Subpart K, §§ 61.201 and 61.203. It also amended § 69.701 and added a new Subpart I, §§ 69.801, 69.803, 69.805, 69.807 and 69.809. Business Data Services in an Internet Protocol Environment, WC Docket No. 16-143, Technology Transitions, GN Docket No. 13-5, Special Access for Price Cap Local Exchange Carriers, WC Docket No. 50-25, AT&T Corporation Petition for Rulemaking to Reform Regulation of Incumbent Local Exchange Carrier Rates for Interstate Special Access Services, RM-10593, [Report and Order](#), 32 FCC Rcd 3459 (2017). Effective 08/01/17; § 61.45 is effective 10/31/17 and §69.701 is effective 11/29/17 and §§ 61.201 and 61.203 are effective 07/23/18.

12/18/17 – The FCC [amended](#) rules in parts 0, 1, 51 and 61, to reflect the closure of the post office box used for manual filings with WCB. The Bureau now will require the use of an electronic payment system and, wherever possible, electronic filing. Amendment of Parts 0, 1, 51, and 61 of the Commission's Rules, MD Docket No. 17-357, Order. Effective: 02/20/18.

10/24/18 - The Commission allowed certain RLECs that receive fixed high-cost support the opportunity

to transition from rate-of-return regulation to incentive regulation for their business data services. For carriers that opt in, the order: provides an opportunity to move their legacy BDS to incentive regulation similar to the price cap regulation adopted in 2017; relieves their lower speed TDM-based end user channel terminations services of ex ante pricing regulation in areas deemed competitive by a competitive market test; eliminates ex ante pricing regulation for their higher speed TDM-based BDS (above DS3) and their packet-based BDS; and forbears from requiring electing carriers to comply with cost support, cost assignment and jurisdictional separations requirements. The Commission amended §§ 32.1, 32.11, 51.903, 61.41, 61.50, 61.55 and 69.114. Regulation of Business Data Services in an Internet Protocol Environment, WC Docket 17-144, Business Data Services in an Internet Protocol Environment, WC Docket No. 16-143, and Special Access for Price Cap Local Exchange Carriers, WC Docket No. 05-25, [Report and Order, Second Further Notice of Proposed Rulemaking and Further Notice of Proposed Rulemaking](#), 33 FCC Rcd 10403 (2016). Effective: 02/26/19.

07/12/19 - The FCC adopted a Report and Order on remand granting price cap carriers relief from ex ante pricing regulation of their lower speed TDM transport business data services nationwide and partially granting USTelecom's request for forbearance from DS1 and DS3 transport unbundling obligations for price cap carriers. It amended §§ 61.201, 61.203 and 69.807. Business Data Services in an Internet Protocol Environment, WC Docket No. 16-143, Technology Transitions GN Docket No. 13-5, Special Access for Price Cap Local Exchange Carriers, WC Docket No. 05-25, AT&T Corporation Petition for Rulemaking to Reform Regulation of Incumbent Local Exchange Carrier Rates for Interstate Special Access Services, RM-10953, and Petition of USTelecom for Forbearance Pursuant to 47 U.S.C. §160(c) to Accelerate Investment in Broadband and Next-Generation Networks, WC Docket No. 18-141, [Report and Order on Remand \(WC Docket Nos. 05-25; 16-143; GN Docket No. 13-5\) and Memorandum Opinion and Order](#) (WC Docket No 18-141), FCC 19-66. Effective: 09/06/19.

09/27/19 – The FCC adopted reforms to eliminate access arbitrage schemes, including rules making access-stimulating LECs—rather than IXCs—financially responsible for the tandem switching and transport service access charges associated with the delivery of traffic from an IXC to the access-stimulating LEC end office or its functional equivalent. The FCC amended §§ 51.903, 51.917, 61.3, 61.26, 61.39, 69.3, 69.4 and 69.5 and added 51.914. Updating the Intercarrier Compensation Regime to Eliminate Access Arbitrage, [Report and Order and Modification of Section 214 Authorization](#), WC Docket No. 18-155, FCC 19-4. Effective: 30 days after publication in the Federal Register except for §§ 51.914(b) and 51.914(e) which are subject to OMB approval.

10/30/19 – The FCC adopted a Report and Order amending the rules for electronic filing of tariffs, including allowing carriers to cross-reference its own tariffs and those of its affiliates in its tariff publications. They also eliminated the requirement that price cap LECs submit short form tariff review plans 90 days before their annual access charge tariff filings are effective. It amended §§ 61.49, 61.54 and 61.74. Reform of Certain of Part 61 Tariff Rules, WC Docket No. 18-276 and Petitions for Limited Waiver of Rules 61.47(a), WC Docket No. 17-308, [Report and Order](#), FCC 19-107. Effective 30 days after publication in the Federal Register.

## Chronologies

Subpart A – General		
§ 61.1	Purpose and application	Adopted: <a href="#">10/09/84</a> , amended: <a href="#">08/03/99</a> , <a href="#">08/31/01</a>
§ 61.2	General tariff requirements	Adopted: <a href="#">10/09/84</a> , amended: <a href="#">08/03/99</a>
§ 61.3	Definitions ( <a href="#">pending text</a> )*	Adopted: <a href="#">10/09/84</a> , amended: <a href="#">10/04/90</a> , <a href="#">09/16/91</a> , <a href="#">06/11/93</a> , <a href="#">01/31/94</a> , <a href="#">04/07/95</a> , <a href="#">04/14/95</a> , <a href="#">10/31/96</a> , <a href="#">01/31/97</a> , <a href="#">05/16/97</a> , <a href="#">08/03/99</a> , <a href="#">08/27/99</a> , <a href="#">05/31/00</a> , <a href="#">06/09/11</a> , <a href="#">11/18/11</a> , <a href="#">09/27/19</a> *
§ 61.11	[Reserved]	Adopted: <a href="#">10/09/84</a> , amended: <a href="#">04/17/89</a> , <a href="#">05/28/98</a>
§ 61.12	[Reserved]	Adopted: <a href="#">10/09/84</a> , amended: <a href="#">06/29/87</a> , <a href="#">04/17/89</a> , <a href="#">05/28/98</a>
Subpart B – Rules for Electronic Filing		
§ 61.13	Scope	Adopted: <a href="#">05/28/98</a> , amended: <a href="#">08/03/99</a> , <a href="#">03/20/01</a> , <a href="#">06/09/11</a> , <a href="#">12/18/17</a>
§ 61.14	Method of filing publications	Adopted: <a href="#">05/28/98</a> , amended: <a href="#">08/03/99</a> , <a href="#">01/25/08</a> , <a href="#">06/09/11</a> , <a href="#">12/18/17</a>
§ 61.15	Letters of transmittal and cover letters	Adopted: <a href="#">05/28/98</a> , amended: <a href="#">08/03/99</a> , <a href="#">08/31/01</a> , <a href="#">06/09/11</a>
§ 61.16	Base documents	Adopted: <a href="#">05/28/98</a> , amended: <a href="#">08/03/99</a> , <a href="#">06/09/11</a>
§ 61.17	Applications for special permission	Adopted: <a href="#">05/28/98</a> , amended: <a href="#">08/03/99</a> , <a href="#">03/14/02</a> , <a href="#">01/25/08</a> , <a href="#">06/09/11</a> , <a href="#">12/18/17</a>
Subpart C – General Rules for Nondominant Carriers		
§ 61.18	Scope	Adopted: <a href="#">10/09/84</a> , amended: <a href="#">04/17/89</a> , <a href="#">08/03/99</a>
§ 61.19	Detariffing of international and interstate, domestic interexchange services	Adopted: <a href="#">10/09/84</a> , amended: <a href="#">04/17/89</a> , <a href="#">10/31/96</a> , <a href="#">08/03/99</a> , <a href="#">11/17/00</a> , <a href="#">03/20/01</a>
§ 61.20	Method of filing publications	Adopted: <a href="#">10/09/84</a> , amended: <a href="#">04/17/89</a> , <a href="#">08/18/93</a> , <a href="#">03/13/96</a> , <a href="#">10/31/96</a> , <a href="#">08/20/97</a> , <a href="#">08/03/99</a> , <a href="#">01/25/08</a> , <a href="#">06/09/11</a> , <a href="#">12/18/17</a>
§ 61.25	References to other instruments	Adopted: <a href="#">10/09/84</a> , amended: <a href="#">04/17/89</a> , <a href="#">08/03/99</a>
§ 61.26	Tariffing of competitive interstate switched exchange access services ( <a href="#">pending text</a> )*	Adopted: <a href="#">10/09/84</a> , amended: <a href="#">04/17/89</a> , <a href="#">04/27/01</a> , <a href="#">05/18/04</a> , <a href="#">11/18/11</a> , <a href="#">02/27/12</a> , <a href="#">09/27/19</a> *
Subpart D – General Tariff Rules for International Dominant Carriers		
§ 61.28	International dominant carrier tariff filing requirements	Adopted: <a href="#">08/03/99</a> , amended: <a href="#">03/20/01</a>
Subpart E – General Rules for Dominant Carriers		
§ 61.31	Scope	Adopted: <a href="#">08/03/99</a>

<b>§ 61.38</b>	Supporting information to be submitted with letters of transmittal	Adopted: <a href="#">10/09/84</a> , amended: <a href="#">06/29/87</a> , <a href="#">04/17/89</a> , <a href="#">10/04/90</a> , <a href="#">09/16/91</a> , <a href="#">10/19/92</a> , <a href="#">06/11/93</a> , <a href="#">08/03/99</a> , <a href="#">03/14/02</a> , <a href="#">02/26/04</a> , <a href="#">04/14/04</a> , <a href="#">06/09/11</a>
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§ 61.39	Optional supporting information to be submitted with letters of transmittal for Access Tariff filings by incumbent local exchange carriers serving 50,000 or fewer access lines in a given study area that are described as subset 3 carriers in § 69.602 ( <a href="#">pending text</a> )*	Adopted: <a href="#">06/29/87</a> , amended: <a href="#">10/04/90</a> , <a href="#">06/11/93</a> , <a href="#">02/18/97</a> , <a href="#">08/03/99</a> , <a href="#">06/09/11</a> , <a href="#">11/18/11</a> , <a href="#">09/27/19</a> *
§ 61.40	Private line rate structure guidelines	Adopted: <a href="#">10/09/84</a> , amended: <a href="#">06/09/11</a>
§ 61.41	Price cap requirements generally ( <a href="#">pending text</a> )*	Adopted: <a href="#">04/17/89</a> , amended: <a href="#">10/04/90</a> , <a href="#">09/16/91</a> , <a href="#">08/03/99</a> , <a href="#">05/31/00</a> , <a href="#">02/26/04</a> , <a href="#">04/14/04</a> , <a href="#">06/09/11</a> , <a href="#">10/24/18</a>
§ 61.42	Price cap baskets and service categories	Adopted: <a href="#">04/17/89</a> , amended: <a href="#">10/04/90</a> , <a href="#">09/16/91</a> , <a href="#">01/29/93</a> , <a href="#">05/14/93</a> , <a href="#">06/09/93</a> , <a href="#">01/31/94</a> , <a href="#">05/27/94</a> , <a href="#">01/12/95</a> , <a href="#">02/17/95</a> , <a href="#">09/21/95</a> , <a href="#">05/16/97</a> , <a href="#">08/22/97</a> , <a href="#">08/03/99</a> , <a href="#">08/27/99</a> , <a href="#">05/31/00</a> , <a href="#">06/09/11</a>
§ 61.43	Annual price cap filings required	Adopted: <a href="#">04/17/89</a> , amended: <a href="#">10/04/90</a> , <a href="#">08/03/99</a> , <a href="#">06/09/11</a>
§ 61.44	[Reserved]	Adopted: <a href="#">04/17/89</a> , amended: <a href="#">10/04/90</a> , <a href="#">08/03/99</a>
§ 61.45	Adjustments to the PCI for local exchange carriers	Adopted: <a href="#">04/17/89</a> , amended: <a href="#">10/04/90</a> , <a href="#">04/17/91</a> , <a href="#">06/11/93</a> , <a href="#">04/07/95</a> , <a href="#">09/21/95</a> , <a href="#">05/16/97</a> , <a href="#">05/21/97</a> , <a href="#">08/03/99</a> , <a href="#">08/27/99</a> , <a href="#">05/31/00</a> , <a href="#">06/09/11</a> , <a href="#">04/28/17</a>
§ 61.46	Adjustments to the API	Adopted: <a href="#">04/17/89</a> , amended: <a href="#">10/04/90</a> , <a href="#">05/16/97</a> , <a href="#">08/27/99</a> , <a href="#">05/31/00</a> , <a href="#">06/09/11</a>
§ 61.47	Adjustments to the SBI; pricing bands	Adopted: <a href="#">04/17/89</a> , amended: <a href="#">10/04/90</a> , <a href="#">09/16/91</a> , <a href="#">10/16/92</a> , <a href="#">10/19/92</a> , <a href="#">09/02/93</a> , <a href="#">01/31/94</a> , <a href="#">04/07/95</a> , <a href="#">09/21/95</a> , <a href="#">12/24/96</a> , <a href="#">05/16/97</a> , <a href="#">08/20/97</a> , <a href="#">08/03/99</a> , <a href="#">08/27/99</a> , <a href="#">05/31/00</a> , <a href="#">06/09/11</a>
§ 61.48	Transition rules for price cap formula calculations	Adopted: <a href="#">04/17/89</a> , amended: <a href="#">10/04/90</a> , <a href="#">04/17/91</a> , <a href="#">09/16/91</a> , <a href="#">10/16/92</a> , <a href="#">04/07/95</a> , <a href="#">09/21/95</a> , <a href="#">05/16/97</a> , <a href="#">08/20/97</a> , <a href="#">08/03/99</a> , <a href="#">05/31/00</a> , <a href="#">06/09/11</a>
§ 61.49	Supporting information to be submitted with letters of transmittal for tariffs of carriers subject to price cap regulation ( <a href="#">pending text</a> )*	Adopted: <a href="#">10/09/84</a> , amended: <a href="#">04/17/89</a> , <a href="#">10/04/90</a> , <a href="#">02/08/91</a> , <a href="#">04/17/91</a> , <a href="#">07/11/91</a> , <a href="#">08/06/92</a> , <a href="#">10/19/92</a> , <a href="#">03/23/93</a> , <a href="#">09/02/93</a> , <a href="#">01/31/97</a> , <a href="#">08/03/99</a> , <a href="#">08/27/99</a> , <a href="#">06/09/11</a> , <a href="#">10/30/19</a> *
§ 61.50	[Reserved] ( <a href="#">pending text</a> )*	Adopted: <a href="#">06/11/93</a> , amended: <a href="#">02/18/97</a> , <a href="#">08/03/99</a> , <a href="#">10/24/18</a>
<b>Subpart F – Formatting and Notice Requirements for Tariff Publications</b>		
§ 61.51	Scope	Adopted: <a href="#">01/31/97</a> , amended: <a href="#">08/03/99</a> , <a href="#">06/09/11</a>
§ 61.52	Form, size, type, legibility, etc.	Adopted: <a href="#">10/09/84</a> , amended: <a href="#">05/07/93</a> , <a href="#">01/31/97</a> , <a href="#">06/09/11</a>
§ 61.54	Composition of tariffs ( <a href="#">pending text</a> )*	Adopted: <a href="#">10/09/84</a> , amended: <a href="#">08/03/99</a> , <a href="#">10/30/19</a> *
§ 61.55	Contract-based tariffs ( <a href="#">pending text</a> )*	Adopted: <a href="#">09/16/91</a> , amended: <a href="#">08/03/99</a> , <a href="#">08/27/99</a> , <a href="#">06/09/11</a> , <a href="#">04/28/17</a> , <a href="#">10/24/18</a>
§ 61.58	Notice requirements	Adopted: <a href="#">10/09/84</a> , amended: <a href="#">04/17/89</a> , <a href="#">10/04/90</a> , <a href="#">01/07/91</a> , <a href="#">02/08/91</a> , <a href="#">11/22/91</a> , <a href="#">06/11/93</a> , <a href="#">01/31/97</a> , <a href="#">08/03/99</a> , <a href="#">08/27/99</a> , <a href="#">03/14/02</a> , <a href="#">06/09/11</a>
§ 61.59	Effective period required before changes	Adopted: <a href="#">10/09/84</a> , amended: <a href="#">08/03/99</a>

		<a href="#">06/09/11</a>
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<b>Subpart G – Specific Rules for Tariff Publications of Dominant and Nondominant Carriers</b>		
§ 61.66	Scope	Adopted: <a href="#">08/03/99</a> , amended: <a href="#">06/09/11</a>
§ 61.68	Special notations	Adopted: <a href="#">10/09/84</a> , amended: <a href="#">06/09/11</a>
§ 61.69	Rejection	Adopted: <a href="#">10/09/84</a> , amended: <a href="#">08/03/99</a>
§ 61.72	Public information requirements	Adopted: <a href="#">10/09/84</a> , amended: <a href="#">10/31/96</a> , <a href="#">08/20/97</a> , <a href="#">08/03/99</a>
§ 61.73	Duplication of rates or regulations	Adopted: <a href="#">10/09/84</a>
§ 61.74	References to other instruments ( <a href="#">pending text</a> )*	Adopted: <a href="#">10/09/84</a> , amended: <a href="#">10/31/96</a> , <a href="#">08/03/99</a> , <a href="#">03/20/01</a> , <a href="#">10/30/19</a>
§ 61.83	Consecutive numbering	Adopted: <a href="#">08/03/99</a> , amended: <a href="#">06/09/11</a>
§ 61.86	Supplements	Adopted: <a href="#">08/03/99</a> , amended: <a href="#">06/09/11</a>
§ 61.87	Cancellation of tariffs	Adopted: <a href="#">08/03/99</a> , amended: <a href="#">06/09/11</a>
<b>Subpart H –Concurrences</b>		
§ 61.131	Scope	Adopted: <a href="#">10/09/84</a> , amended: <a href="#">08/03/99</a>
§ 61.132	Method of filing concurrences	Adopted: <a href="#">10/09/84</a> , amended: <a href="#">08/03/99</a> , <a href="#">06/09/11</a>
§ 61.133	Format of concurrences	Adopted: <a href="#">10/09/84</a>
§ 61.134	Concurrences for through services	Adopted: <a href="#">10/09/84</a> , amended: <a href="#">06/09/11</a>
§ 61.135	Concurrences for other purposes	Adopted: <a href="#">10/09/04</a>
§ 61.136	Revocation of concurrences	Adopted: <a href="#">10/09/84</a> , amended: <a href="#">04/17/89</a> , <a href="#">08/03/99</a>
<b>Subpart I – Adoption of Tariffs and Other Documents of Predecessor Carriers</b>		
§ 61.171	Adoption notice	Adopted: <a href="#">10/09/84</a> , amended: <a href="#">08/03/99</a>
§ 61.172	Changes to be incorporated in tariffs of successor carrier	Adopted: <a href="#">10/09/84</a>
<b>Subpart J – Suspensions</b>		
§ 61.191	Carrier to file supplement when notified of suspension	Adopted: <a href="#">10/09/84</a> , amended: <a href="#">08/03/99</a> , <a href="#">06/09/11</a>
§ 61.192	Contents of supplement announcing suspension	Adopted: <a href="#">10/09/84</a>
§ 61.193	Vacation of suspension order; supplements announcing same; etc.	Adopted: <a href="#">10/09/84</a>
<b>Subpart K – Detariffing of Business Data Services</b>		
61.201	Detariffing of price cap local exchange carriers (* <a href="#">pending text</a> )	Adopted: <a href="#">04/28/17</a> ; amended: <a href="#">07/12/19</a> *
61.203	Detariffing of competitive local exchange carriers (* <a href="#">pending text</a> )	Adopted: <a href="#">04/28/17</a> ; amended: <a href="#">07/12/19</a> *